

FRONT_PG_F

Individual



Wealthstreet
The Financial Engineers

Account Opening Form



Client Name: RAJA RAM JANI Form No.: 111111

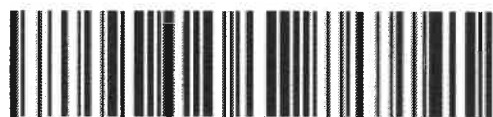
Trading Code: _____

Demat Account No.: 12085500

Branch Code / Name: 101-AHMEDABAD

Authorise Person Code / Name: _____

Date of Activation: _____



FRONT_PG_B



Wealthstreet
The Financial Engineers

PART -A

KNOW YOUR CLIENT (KYC) APPLICATION FORM

INDEX

MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI UNDER NOTIFICATION NO.
CIR/MIRSD/16/2011 (22.08.2011)

Sr. No.	Name of the document	Brief significance of the document	Page No.
1.	Know Your Client or Account Opening Form	KYC Form - Document captures basic information about the constituent (for Individuals)	1
2.	Demat and Trading Account Details	Details of Constituent pertaining to bank account / Depository / Past actions and other Details.	2 - 4
3.	BO Nomination Form	Details pertaining to Beneficiary Owner	5 - 6
4.	Schedule of Charges (BO Account) & Brokerage Tariff Details	Tariff details pertaining to BO Account . Document detailing the rate/ amount of brokerage and other charges levied on the constituent for trading on the Stock & Commodity Exchanges.	7
5.	Running Account Authorization	Authorization letter to maintain constituents account on Running Account basis.	8
6.	ECN	Declaration for Commodity Exchange	8
7.	Power of Attorney	POA to nominate, constitute and appoint Member for DP operations, pledging with clearing corporation etc.	9 - 10
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9.	FATCA Individual	Account opening form supplement	1 - A

IMPORTANT NOTE

1. Signature Types:



Signature of Client / First Holder



Signature of Second Holder



Signature of Third Holder



Signature of Guardian



Signature of First Witness



Signature of Second Witness



Signature of Introducer



Authorized Signatory



INDEX_F



Wealthstreet
The Financial Engineers

ACCOUNT OPENING KIT

ACKNOWLEDGEMENT

Wealthstreet Financial Services Pvt Ltd

Regd. Office : A-1101, Mondeal Heights, Besides Novotel Hotel, S.G. Highway, Ahmedabad-380015.

Dear Sir,

This has reference to necessary Know Your Client Form containing basic information, additional information and other documents executed by me. I hereby acknowledge receipt of following documents from you:-

S.No.		Page Nos.
Particulars (Mandatory as prescribed by Equity Exchange)		
1.	Documents containing mandatory Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories	1-4
2.	Documents containing voluntary Rights & Obligations of stock broker, sub-broker and client forming part of documents as mentioned in clause 1 above.	5-11
3.	Internet & wireless technology based trading facility provided by stock brokers to client	12-13
4.	Risk Disclosure Documents.	14-19
5.	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	20-22
6.	Policy and Procedures document. (Voluntary as prescribed by Member Broker)	23-30
Particulars (Voluntary as prescribed by Member Broker)		
1.	Containing Clause Voluntary documents pertaining to non-mandatory documentation and tariff sheet duly signed by me.	31-36
Mandatory Documents as Prescribed by Commodity Exchange		
1.	Uniform Risk Disclosure Document (RDD) - Document detailing risks associated with dealing in the commodities market.	1- 6
2.	Rights and Obligations of Members, Authorized Persons and Clients - Document stating the rights & obligations of member, authorized person and client for trading on exchanges (including additional rights & obligations in case of internet/wireless technology based trading)	7 - 17
3.	Internet & wireless technology based trading facility provided by members to client	18 -19
4.	Do's and Don't for the Investors - Document detailing do's and don't for trading on exchange, for the education of the investors.	20 - 23
5.	Policies & Procedure - Policies & Procedure for commodities.	24 - 28

I state that I have read and understood all above documents and these documents are binding upon me.

Thanking You,

Signature

FH
1/16

(First/Sole Holder Signature)

SH
1/6

(Second Holder Signature)

TH
1/6

(Third Holder Signature)



ACK_B

CHECKLIST FOR KYC (PLEASE TICK WHEREVER APPLICABLE)

CKYC Reference No.: **IN DIGIT'S KYC NUMBER**

Valid Documents (Copies of all documents to be self attested)		Please Tick
PAN Card AAAPB1234A	Account Holder & Joint Holder's (If any)	
Photograph	One Colored Passport Size Photograph	
Additional Proof of Identity (Any One)	a. PAN Card	<input type="checkbox"/> KRA document used (Email Id & Phone number to be provided on given KRA Form)
	b. Voter ID	
	c. Valid Passport	
	d. Valid Driving License	
	e. Unique Identification Number (UID) (Aadhaar)	
	f. Other (Pls. Specify) _____	
Proof of Address (Any One) Permanent / Correspondence	a. Voter ID	<input type="checkbox"/> KRA document used
	b. Valid Passport	
	c. Valid Driving License	
	d. Unique Identification Number (UID) (Aadhaar)	
	e. Bank Statement/Passbook (not more than 3 months old must contain complete address of client)	
	f. Electricity Bill (not more than 3 months old)	
	g. Resident Landline Tel. Bill (not more than 3 months old)	
	h. Other (Pls. Specify) _____	
Bank Proof With MICR / IFSC Code (1st Holder only)	a. Bank Statement / Bank Passbook with cheque leaf (not more than 3 months old)	
	b. Banker's Certificate on letter head of the Bank (ORIGINAL)	
	c. Cancelled Personalized Cheque leaf	
Demat Proof (Any One) (1st Holder only)	a. Client Master (CML copy duly attested by DP)	
	b. DP Statement / Transaction cum Holding Statement (Duly attested by DP)	
Proof of Income (Any One) Mandatory for Derivatives Segments) (1st Holder only)	a. Copy of latest ITR acknowledgment	
	b. In case of salary income - Salary Slip, Copy of Form 16	
	c. Net-worth certificate (Not more than 1 year old)	
	d. Copy of Demat Account Holding Statement (not more than 3 months old)	
	e. Bank Account Statement for last 6 months reflecting income	


FATCA / CRS DECLARATION / SELF CERTIFICATION FOR INDIVIDUAL

Client Name **RAJA RAM JANI**

	First Holder	Second Holder	Third Holder
1. Are you Indian Resident Person? (Refer Risk Disclosure Document at www.wealthstreet.in)	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No
2. Specify country of residence for tax purpose (If above clause is no)	INDIA		
3. Specify Tax Identification No. / Others			

Note: If you are a U.S. person and / or if your tax residency / nationality / citizenship is other than India, then please provide declaration / self certification under FATCA / CRS. This declaration form can be downloaded from www.wealthstreet.in or call at KYC Helpdesk at (079) 66775500 or write to helpdesk@wealthstreet.in

FH 2/16 
(First/Sole Holder Signature)

SH 2/16 
(Second Holder Signature)

TH 2/16 
(Third Holder Signature)



CHK_FATCA_F

Know Your Client (KYC)
Application Form (For Individuals Only)



WEALTHSTREET FINANCIAL SERVICES PRIVATE LIMITED
Formerly Known as : **WEALTHSTREET ADVISORS PRIVATE LIMITED**
Registered Office: A-1101, Mondeal Heights, S.G Highway, Ahmedabad-380015

Please fill the form in **ENGLISH** and in **BLOCK** letters

Fields marked * are mandatory

Fields marked + are pertaining to CKYC and mandatory only if processing CKYC also



CDSL VENTURES LIMITED
Exploring New Horizons

Application Number: _____

Application Type*: ☒ New KYC ☐ Modification KYC

KYC Mode*: Please Tick (✓)

☒ Normal ☐ EKYC OTP ☐ EKYC Biometric ☐ Online KYC ☐ Offline EKYC ☐ Digilocker

1. Identity Details (please refer guidelines overleaf)

PAN* AAAPB1234A Please enclose a duly attested copy of your PAN Card

Name* (same as ID proof) MR RAJA RAM JANI

Maiden Name* (if any) _____

Fathers/Spouse's Name* MR RAM CHANDRA JANI

Mother's Name* MRS JANKI RAM JANI

Date of Birth* 01/01/1981

Gender* ☒ Male ☐ Female ☐ Transgender

Marital Status* ☐ Single ☒ Married

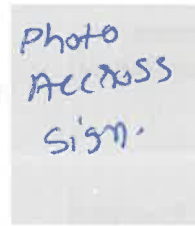
Nationality* ☒ Indian ☐ Other _____

Residential Status* ☒ Resident Individual ☐ Non Resident Indian

Please Tick (✓)

☐ Foreign National ☐ Person of Indian Origin*

(Passport mandatory for NRIs and Foreign Nationals. PIO selection is only for CKYC and not for KRA KYC. Select NRI or Foreign National based on Nationality of the individual)



Cross Signature across photograph

Lat: _____
Long: _____
IPV: 30/04/2024
City: _____

Proof of Identity (POI) submitted for PAN exempted cases (Please tick)

☒ A — Aadhaar Card 2222

☐ B — Passport Number _____

☐ C — Voter ID Card _____

☐ D — Driving License _____

☐ E — NREGA Job Card _____

☐ F — NPR _____

☐ Z — Others _____

(Expiry Date) _____

(Expiry Date) _____

Identification Number AAAPB1234A

2. Address Details* (please refer guidelines overleaf)

A. Correspondence/ Local Address*

Line 1* A 1101 MONDEAL HEIGHTS

Line 2 BESIDE NOVOTEL HOTEL Line3 S G HIGHWAY

City/Town/Village* AHMEDABAD District* AHMEDABAD Pin Code* 380015

State* GUJARAT Country* INDIA

Address Type* ☐ Residential/Business ☒ Residential ☐ Business ☐ Registered Office ☐ Unspecified

Occupation ☒ S-Service (☒ Private Sector ☐ Public Sector ☐ Govt Sector)
(Please Tick) ☐ O-Others (☐ Professional ☐ Self Employed ☐ Retired ☐ Housewife ☐ Student)
☐ B-Business ☐ X-Not Categorised

Applicant e-SIGN

B. Permanent residence address of applicant, if different from above A / Overseas Address* (Mandatory for NRI Applicant)

Line 1* SAME AS CORRESPONDENCE

Line 2

Line 3

City/

Town/Village*

District*

Pin Code*

State*

Country*

Address Type* ☐ Residential/Business ☒ Residential ☐ Business ☐ Registered Office ☐ Unspecified**Proof of Address*** (attested copy of any 1 POA for correspondence and permanent address each to be submitted)☒ A — Aadhaar Card 2222☐ B — Passport Number

(Expiry Date)

☐ C — Voter ID Card☐ D — Driving License

(Expiry Date)

☐ E — NREGA Job Card☐ F — NPR Letter☐ Z—Others

(any document notified by Central Government)

Identification Number

3. Contact Details (in CAPITAL)

Email ID* KYC@wealthstreet.in

Mobile No. * 07966775552

Tel (off)

Tel (Res)

4. Applicant Declaration

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

DATE: 30/04/2024 (DD-MM-YYYY)

PLACE: AHMEDABAD

Applicant e-SIGN

Applicant Wet Signature

5. For Office Use Only

In-Person Verification (IPV) carried out by*

Intermediary Details*

IPV Date 30/04/2024

Emp. Name RADHA

Emp. Code 4444

Emp. Designation RM

☒ Self certified document copies received (OVD)☒ True Copies of documents received (Attested)

AMC / Intermediary Name :

WEALTHSTREET FINANCIAL SERVICES PRIVATE LIMITED

ADDITIONAL KYC DETAILS FOR DEMAT & TRADING ACCOUNT			
Type of Account - Individual (please tick whichever is applicable)			
<input checked="" type="checkbox"/> Individual	<input checked="" type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)	<input type="checkbox"/> Individual - Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Minor <input type="checkbox"/> Other (specify) _____	
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI - Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter <input type="checkbox"/> Other (specify) _____	
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National - Depositor Receipts	<input type="checkbox"/> Other (specify) _____
Guardian details (where sole holder is a minor):			
[For account of a minor, two KYC Application Forms must be filled i.e. one for the guardian and another for the minor (to be signed by guardian)]			
Guardian Name			
PAN			
Relationship of Guardian with minor			
A. BANK ACCOUNT DETAILS (BANK 1 WILL BE CONSIDERED FOR PAYOUT OF FUNDS)			
Bank 1 : Bank Name & Branch Location: BANK OF BARODA			
Account No.	000000000000	<input checked="" type="checkbox"/> Savings	<input type="checkbox"/> Current
MICR Code	380000002	IFSC Code	BARB0333333
Bank 2 : Bank Name & Branch Location: AHMEDABAD			
Account No.		<input type="checkbox"/> Savings	<input type="checkbox"/> Current
MICR Code		IFSC Code	
B. DEPOSITORY ACCOUNT DETAILS (DP1 DETAILS CONSIDERED FOR PAYOUT OF SECURITIES IF FILLED)			
DP 1 Details		DP 2 Details	
Depository	<input checked="" type="checkbox"/> CDSL	<input checked="" type="checkbox"/> CDSL	<input type="checkbox"/> NSDL
DP Name	Wealthstreet Financial Services Private Limited		
Client Name	RAJA RAM JANI		
DP ID	12085500		
BO ID			
UCC CODE			
C. TRADING PREFERENCES <small>*Please sign in the relevant boxes where you wish to trade. The segment not chosen should be struck off by the client</small>			
Exchanges	Segment	Client Signature	
NSE / BSE	Cash	Signature of Applicant	<div style="display: flex; align-items: center;"> <div style="border: 1px solid black; padding: 2px; margin-right: 5px;">FH 5/16</div> <div style="display: flex; gap: 10px;"> <div style="border: 1px solid black; padding: 2px;">NSE</div> <div style="border: 1px solid black; padding: 2px;">BSE</div> </div> </div>
NSE	F&O	Signature of Applicant	<div style="display: flex; align-items: center;"> <div style="border: 1px solid black; padding: 2px; margin-right: 5px;">FH 6/16</div> <div style="border: 1px solid black; width: 30px; height: 30px;"></div> </div>
NSE / BSE	Currency Derivative	Signature of Applicant	<div style="display: flex; align-items: center;"> <div style="border: 1px solid black; padding: 2px; margin-right: 5px;">FH 7/16</div> <div style="border: 1px solid black; width: 30px; height: 30px;"></div> </div>
MCX	Commodity Derivative	Signature of Applicant	<div style="display: flex; align-items: center;"> <div style="border: 1px solid black; padding: 2px; margin-right: 5px;">FH 8/16</div> <div style="border: 1px solid black; width: 30px; height: 30px;"></div> </div>
FOR ALL SEGMENTS PLEASE SIGN HERE		Signature of Applicant	<div style="display: flex; align-items: center;"> <div style="border: 1px solid black; padding: 2px; margin-right: 5px;">FH 9/16</div> <div style="border: 1px solid black; width: 30px; height: 30px;"></div> </div>
D. PAST ACTIONS			
Details of any action / proceedings initiated / pending / taken by SEBI / Stock exchange / any other authority against the applicant / constituent or its Partners / promoters / whole time directors / authorized persons in charge of dealing in securities during the last 3 years:			
<input checked="" type="checkbox"/> No If yes, please specify			

E. INTRODUCER DETAILS

Status of Introducer ☐ Sub-broker/AP ☒ Employee ☐ Existing Client ☐ Others (Specify):

Introducer Name: RADHA

SB/AP/Emp/Client Code: 4444

Address of SB/AP: AHMEDABAD

Mobile No:

Relation CKYC No:

Signature of the Introducer

SN

2121

F. DEALING THROUGH SUB-BROKERS / AUTHORISED PERSON (AP) / OTHER STOCK BROKERS

If Yes, please specify:

Name of Broker/SB/AP

SEBI Registration No

Name of Exchange

Trading Code

Details of disputes/dues pending from/to such stock broker/sub-broker:

Whether you are a Member / Sub-broker / AP of any Exchange ☐ Yes ☐ No

G. STANDING INSTRUCTIONS / OTHER DETAILS / EMAIL-SMS ALERT / CONTRACT NOTE PREFERENCE

To send Electronic Transaction, Holding Statement, ECN / Bill & all correspondence at 1st Holder Email ID stated in KYC KYC@wealthstreet.in

☒ Yes ☐ No

To share email id with Registrar & Transfer Agent (RTA)

☒ Yes ☐ No

Dividend / Interest to receive in bank account stated in KYC via ECS

☒ Yes ☐ No

To avail facility of Internet Trading & Wireless Technology

☒ Yes ☐ No

To receive Annual Report in Electronic mode

☒ Yes ☐ No

To receive Rights & Obligations, RDD, Guidance Note, Policies & Procedures & all non mandatory documents in electronic and also displayed for information on website www.wealthstreet.in

☒ Yes ☐ No

To receive Delivery Instruction Slip

☒ Yes ☐ No

DP Account Statement

☐ Monthly ☐ Fortnightly ☐ Weekly ☒ As per SEBI Regulation

Declaration for Email & Mobile Number

☒ Self ☐ Spouse ☐ Child ☐ Parent

Note: To register for Easi please visit website www.cdslindia.com, where BO can view ISIN balances, transactions & portfolio online.

H. INFORMATION FOR PREVENTION OF MONEY LAUNDERING ACT, 2002 & OTHER DETAILS

Experience

Number of years of Investment / Trading Experience ☐ ☐

Gross Annual Income

☐ Below 1 lac ☒ 1-5 Lacs ☐ 5-10 Lacs ☐ 10-25 Lacs ☐ >25 Lacs

Net Worth in ` as on date 30/04/2024

Nature of Business

☐ Manufacturing ☐ Services ☐ Trading

☐ Consultancy ☐ Others

Guardian Details

Name:

PAN

CKYC Reference No.:

Relation

Is the Client Politically Exposed Person (PEP) or Related to a PEP ☐ Yes ☒ No

Transactions Using Secured Texting Facility (TRUST). Refer to Terms and Conditions Annexure - 2.6

I wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility I have read and understood the Terms and Conditions prescribed by CDSL for the same

☒ Yes ☐ No

I/We wish to register the following clearing member Ids under my/our below mentioned BOID registered for TRUST

Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)



IN_OCC_B

MCX CATEGORIZATION

Category

☐

Farmers/FPOs

☐

Proprietary Traders

☐

Foreign participants

☐

Hedgers

☐

Value Chain Participants

☐

Domestic financial institutional investors

☐

Others

DECLARATION

1. I hereby declare that the details furnished above are true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am aware that I may be held liable for it.
2. I confirm having read/been explained and understood the contents of the document on policy and procedure of the stock broker and the tariff sheet, and all voluntary / non-mandatory documents.
3. I further confirm having read and understood the contents of the 'Rights and Obligations' document(s), 'Risk Disclosure Document' and 'Guidance Note' with 'Policy and Procedures' Do's and Don'ts for stock and commodities segment. I do hereby agree to be bound by such provisions as outlined in these documents. I have also been informed that the standard set of documents has been displayed for Information on stock broker's designated website www.wealthstreet.in.
4. The rules and regulations and Bye laws of the Depository and Depository Participants pertaining to an account which are in force now have been read by me/us and I/we have understood the same and we agree to abide by and to be bound by the rules, regulations and bye laws as are in force from time to time for such accounts, I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/we undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am / we are aware that I/we may be held liable for it. In case non-resident account, I/We also declare that I/We have complied and will continue to comply with FEMA regulations. I/We acknowledge the receipt of copy of the document, "Rights and Obligations of the Beneficial Owner and Depository Participant".

FH
10/16

(First/Sole Holder Signature)

SH
3/6

(Second Holder Signature)

TH
3/6

(Third Holder Signature)

Date: 30/04/2024

Place: AHMEDABAD

Notes:

1. In case of additional signatures, separate annexures should be attached to the application form.
2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
3. For receiving Statement of Account in electronic form:
 - I. Client must ensure the confidentiality of the password of the email account.
 - II. Client must promptly inform the Participant if the email address has changed.
 - III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
4. Strike off whichever is not applicable.



DP_DEC_F

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NOMINATION DETAILS

To,
WEALTHSTREET FINANCIAL SERVICES PRIVATE LIMITED
 A-1101 Mondeal Heights, Besides Novotel Hotel,
 S G Highway, Ahmedabad-380015. Gujarat.

Date: 30/04/2024

UCC :

Client ID :

Dear Sir/ Madam,

I/We the sole holder / Joint holders / Guardian (in case of minor) hereby declare that:

- ☐ I/We **nominate** the following person/s who is/are entitled to receive security balances lying in my/our account, particulars where of are given below, in the event of my / our death

BO Account Details

DP ID	1	2	0	8	5	5	0	0	Client ID								
Name of the Sole / First Holder	RAJA RAM JANI																
Name of Second Holder																	
Name of Third Holder																	
Nomination Details	Nominee 1				Nominee 2				Nominee 3								
Nominee Name :																	
*First Name:	LAXMAN																
Middle Name:	RAM																
*Last Name	JANI																
*Percentage of allocation of securities <input type="checkbox"/> Equally (If not equally, please specify percentage) Or <input type="checkbox"/> Share of each Nominee	100																

*Any odd lot after division shall be transferred to the first nominee mentioned in the form

Nomination Identification details Please tick any one of following and provide details of same Optional Fields			
Photograph & Signature			
*PAN	ABABA0101A		
* Aadhar	0101		
Saving Bank A/c no.			
Proof of Identity			
Demat Account ID			
Email ID:	KYC@WEALTHSTREET.IN		
*Address:	A 1101 MONDEAL HEIGHTS BESIDE NOVOTEL HOTEL		
*City:	AHMEDABAD		
*State:	GUJARAT		
*Pin:	380015		
*Country:	INDIA		
Mobile no / Telephone No:			
Fax No:			
*Relationship with the BO:	BROTHER		



To be filled only if nominee(s) is a minor:			
Date of birth (mandatory if Nominee is a minor): dd-mm-yyyy			
Name of the Guardian of Nominee (if the nominee is minor):			
*First Name:			
*Middle Name:			
*Last Name			
*Address of the Guardian of nominee			
*City:			
*State:			
*Country:			
*Pin:			
Age			
Mobile no / Telephone No:			
Fax No:			
Email ID:			
*Relationship of the Guardian with the Nominee:			
Guardian Identification details Please tick any one of following and provide details of same Optional Fields			
Photograph & Signature			
*PAN			
* Aadhar			
Saving Bank A/c no.			
Demat Account ID			

Note: Residual securities: in case of multiple nominees, remaining after distribution of securities as per percentage of allocation shall be transferred to the first nominee.

*** Marked is Mandatory field**


Note: Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature [in both the cases i.e. nomination /negative nomination]

Place: AHMEDABAD

Date: 30/04/2024

Details of the Witness	Witness Details
Names of Witness	Jatayu
Address of Witness	Ahmedabad
Signature of Witness	Jatayu

I / We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me / us in this form. I/We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder or Guardian(in case of Minor)	Second Holder	Third Holder
Name.	RAJA RAM JANI		
Signature			

(To be filled by DP)
Nominee Form accepted and registered wide Registration No. _____ date _____

(Authorised Signatory)

Declaration Form for opting out of nomination

☐ **I/We do not wish to nominate any one for this Demat and Trading account.**

[Strike out what is not applicable. [Signatures of all account holders should be obtained on this form].

[illegible]

I / We hereby confirm that I/We do not wish to appoint any nominee(s) in my demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the accountholder(s), my/our legal heirs would need to submit all the requisite documents/ information for claiming of assets held in my/our trading/ demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading/ demat account.

	First/Sole Holder or Guardian(in case of Minor)	Second Holder	Third Holder
Name.			
Signature			

**For, Depository Participant
(Authorised Signatory)**

BROKERAGE TARIFF DETAILS

BROKERAGE RATES (Equity / Commodity)

Mention on percentage or paisa very clearly	Trading / Intra-day first leg-second leg	Minimum (mention on percentage or paisa)	Delivery (Cm) / Carry forward (f&O)	Minimum (mention on percentage or paisa)	Minimum (per Options contract Rs. per lot)
CM Segment	0.03	0.03	0.30	0.03	N.A.
F&O Segment					
Currency					
MCX					
NCDEX					

Schedule of Charge Structure for DEMAT Services Individual Investor

SERVICE	CHARGE			
	REGULAR* <input type="checkbox"/>	LIFE TIME <input type="checkbox"/>	LIFE TIME *** FREE AMC <input checked="" type="checkbox"/>	BSDA**
Annual Maintenance Charge	Rs.400/- 1st Year Free	Rs.1500/-	FREE	NIL**
Transfer (Buy/Credit)	NIL	NIL	NIL	NIL
Transfer (Sell/ Debit) Market	Rs.15/-	Rs.20/-	Rs.25/-	Rs.30/-
WSAPL Account				
Market /off Market Transfer	Rs.30/-	Rs.40/-	Rs.50/-	Rs.50/-
Other than WSAPL				
Demat	Rs.5 /- Per Certificate with min Rs.40/- Per request + Rs.50/- Postage Charges per request			
Remat	Rs.50 /- Postage Charges Rs.11 /- Per 100 Securities / Rs.20/- Per Certificate whichever is higher, Maximum 500000/-			
Pledge Creation	Rs. 25/- Per ISIN			
Pledge Closure/Invocation	Rs. 25/- Per ISIN			
Margin Pledge	Rs. 15/- Per ISIN			
Margin Unpledge	Rs. 15/- Per ISIN			
Freeze-De-Freeze	Rs.50/-			
Failed Instruction Charges	NIL			

** If Value of holding is up to 50000 , Rs .100/- if value of holding is between 50001 to 200000.

I/We wish to avail BSDA facility: ☐ Yes ☒ No

** If I/We am/are found to be not eligible for BSDA scheme due to non-fulfilment of criteria prescribed by SEBI, then the default scheme applicable shall be yearly AMC.

Notes:

- We reserve the rights to change/add charges with 30 days prior notice.
- Charges/Tariffs shall be revised / changed at WFSPL's discretion, intimation of which shall be done by E-mail/Post
- For account opening charges/annual maintenance, cheques required Payable at Par/DD.
- All payments should be in favour of "Wealthstreet Financial Services Private Limited".
- The above rates are exclusive of Stamp Duty, Exchange Transaction charges, Securities Transaction Tax, SEBI Turnover Charges and GST which will be charged extra at the rate prevailing from time to time. Further it is exclusive of any other charges /levies that may be imposed from time to time.
- For Internet Based Trading (IBT) clients, additional charges with regard to software access etc. will be applicable as per the terms agreed at relevant point in time.

*** Subject to initial margin of minimum Rs. 2 lacs. I/We accept above Charges Structure.

DP ID	1	2	0	8	5	5	0	0	Client ID							
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FH
12/16



(First/Sole Holder Signature)

SH
5/6



(Second Holder Signature)

TH
3/6



(Third Holder Signature)



BRK_CHG_F

STANDING INSTRUCTION TO MAINTAIN RUNNING ACCOUNT (VOLUNTARY)

I / We request you to maintain my/our accounts for funds and securities on running accounts basis instead of 'bill to bill' settlement basis, unless I/we specifically request you for a payout of available free funds or securities in the account. You may settle the accounts at Monthly/Quarterly (strike off whichever is not applicable, else the option will be considered as Quarterly) or at such other intervals as SEBI/Stock Exchanges may specify from time to time. I / We further authorize you to retain securities and/or funds as may be permitted by Stock Exchanges/SEBI from time to time or towards other unbilled services and/or charges applicable on my account, while settling the accounts. I/We further authorize

you to also retain an amount of up to Rs. 10,000/- (Ten Thousand Only) or any other sum as may be permitted by SEBI / Stock Exchanges from time to time, while settling my / our account. I / We understand and agree that no interest will be payable on the amount of funds retained by you as above. I / We agree that Wealthstreet shall not be liable for any claim for loss or loss of profit or for any consequential, incidental, special or exemplary damages, or otherwise, caused by retention of such securities/funds. The standing instruction/authorization for maintaining my/our account as running account shall remain valid until revoked in writing, addressed to you.

Client Code: _____



(First/Sole Holder Signature)

ELECTRONIC CONTRACT NOTES [ECN] DECLARATION (VOLUNTARY) COMMODITY

To,
Wealthstreet Financial Services Private Ltd.
Dear Sir,

I, RAJA RAM JANI a client with member M/s. Wealthstreet Financial Services Private Ltd. of Commodity Exchange undertake as follows:

- I am aware that the member has to provide physical contract note in respect of all the trades placed by me unless I myself want the same in the electronic form.
- I am aware that the member has to provide electronic contract note for my convenience on my request only.
- Though the member is required to deliver physical contract note, I find that it is inconvenient for me to receive physical contract notes. Therefore, I am voluntarily requesting for delivery of electronic contract note pertaining to all the trades carried out / ordered by me.
- I have access to a computer and am a regular internet user, having sufficient knowledge of handling the email operations.
- My email id is KYC@wealthstreet.in. This has been created by me and not by someone else.
- I am aware that this declaration form should be in English or in any other Indian language known to me.

[The above declaration has been read and understood by me. I am aware of the risk involved in dispensing with the physical contract note, and do hereby take full responsibility for the same]

(The above lines must be reproduced in own handwriting of the client.)

Client Name : RAJA RAM JANI

Unique Client Code : _____

PAN : AAAPB1234A

Address : _____

Date : 30/04/2024

Place: AHMEDABAD



(First/Sole Holder Signature)



VOL_LTR_EC_N_B

MUTUAL FUND CONFIRMATION

Date: 30/04/2024

To,
WealthStreet Financial Services Private Limited

Regd. office : A-1101, Mondeal Heights, Besides Novotel Hotel, S.G.
Highway, Ahmedabad-380015.

Dear Sir,

Subject: BSE Star MF/MFSS

I/We RAJA RAM JANI am/are registered as your client with Client Code No. _____ and have entered into relationship with the Trading Member for the purpose of trading in the Capital Market Segment of Bombay Stock Exchange Ltd. (Exchange) and National Stock Exchange Ltd. (Exchange).

I/We am/are interested in availing the trading facility of the Exchange for the purpose of dealing in the units of Mutual Funds Schemes permitted to be dealt with on the BSE STAR MF and MFSS on the Exchange.

I/We am/are provides my consent for mutual fund trading against collateral lying my account. Also, aware that in event of non clearance of debit in my/our trading accounts; there is risk of stock collateral or MF collateral getting liquidated to extent of my/our ledger debit for recovery of trading debit.

For the purpose of availing the BSE STAR MF & MFSS. I/We state that Know Your Client details as submitted by me/us for the stock broking may be considered for the purpose of BSE STAR MF & MFSS and I/We further confirm that the details contained in same remain unchanged as on date.

I/We am/are willing to abide by the terms and conditions as mention in the circular dated December 2, 2009 and such other Notices/Circulars as may be specified by the Exchange/ICCI from time to time in this regards, for BSE STAR MF and Terms & Conditions mentioned in circular No. NSE/MFSS/003/2001 download Ref. No. NSE (MIC/13533) dated November 24, 2009.

I/We shall ensure also compliance with the requirements as may be specified from time to time by Securities and Exchange Board of India and Association of Mutual Funds of India (AMFI)

I/We shall read and understand the contents of the Scheme Information Document and Key Information Memorandum, addenda issued regarding each Mutual Fund Schemes with respect to which I/We choose to subscribe/redeem. I/We further agree to abide by the terms and conditions, rules and regulations of the Mutual Fund Schemes.

I/We therefore request you to register me/us as your client for participating in BSE STAR MF and MFSS.

Thanking you,

Yours faithfully,



Client Signature/Authorise Signatories

Client Name RAJA RAM JANI

Demat A/c No. _____

1st Holder RAJA RAM JANI

2nd Holder _____

3rd Holder _____

Date : 30/04/2024

Place: AHMEDABAD



MUT_FUND_F

CLIENT MASTER - FOR USE BY WFSPL

STRICTLY HO REFERENCE

Initial entries

CALL VERIFICATION	INWARD PARTICULARS	DOCUMENT VERIFICATION
Date _____	Date _____	Date _____
Time _____	Time _____	Time _____
Sign _____	Sign _____	Sign _____

Remarks

PROCEDURE CHECK LIST

☐ Call verification of Client
 ☐ Mail sent to Client
 ☐ SMS sent to Client
 ☐ Welcome kit dispatch

Declaration pursuant to PMLA by SEBI vide its circular ISD/AML/CIR-1/2010 dtd 12th February, 2011.

Professional Clearing Member NSE - F & O NSE & BSE- CD MCX Orbis Financial Corporation Ltd. CIN U67120HR2005PLC036952 4A Ocus Technopolis, Golf Club Road Sector 54, Gurgaon 122002	Wealthstreet Financial Services Private Limited (WFSPL) SEBI Regn. Nos. :- NSE Cash (CM) NSE Derivatives (F&O) NSE Currency Derivatives (CD) BSE Currency Derivatives (CD) BSE Cash (CM) BSE Derivatives (F&O) MCX CDSL DP - 85500 MFSS Segment - AMFI	} INZ 000157331 } IN - DP - 361 - 2018 - ARN-118251	Dt. 27.09.2017 Dt. 05.04.2018 Dt. 09.01.2017
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(This information is the sole property of Wealthstreet Financial Services Private Limited and would not be disclosed to anyone unless required by law or except with express permission of client)

Director Name	Mr. Ajay Saraogi		
Tel No.	079-66775500	Email id:	grievance@wealthstreet.in
Compliance Officer Name	Mr. Suren Pandya		
Tel No.	079-66775500	Email id:	compliance@wealthstreet.in

For any grievance / dispute please contact Wealthstreet Financial Services Private Limited (WFSPL) at the above address or email id - helpdesk@wealthstreet.in and Tel. No. 079-66775500 or visit our website: www.wealthstreet.in. In case not satisfied with the response, please contact the concerned exchange(s). For NSE for - ignse@nse.co.in or 022-26598190 / For BSE- is@bseindia.com or 022-22728097. For MCX- compliance@mcxindia.com or 022-66494090.



CHK_LIST_B

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Wealthstreet

The Financial Engineers

Wealthstreet Financial Services Private Limited

Regd. Office

A-1101, Mondeal Heights, Besides Novotel Hotel,
S.G. Highway, Ahmedabad-380015.